9-20-60

Attorney's Docket No.: 17103-003001/1457

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert S. Osbakken et al.

Art Unit : 1614

Serial No.: 09/942,959

Examiner: Donna Jagoe

Filed

: August 31, 2001

Confirmation No.: 7962

Customer No.: 20985

Title

: AEROSOLIZED ANTI-INFECTIVES, ANTI-INFLAMMATORIES, AND

DECONGESTANTS FOR THE TREATMENT OF SINUSITIS

### **Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (2 page), cited references, return postcard, and a check for the requisite fee of \$180.00 for filing in connection with the above-captioned patent application

The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie L. Seidman

Reg. No. 33,779

Attorney Docket No. 17103-003001/1457

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 399295332 US Date of Deposit September 17, 2004

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, V.A., 22313-1450.

Stephanie L. Seidman



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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed after receipt of a First Office Action on the Merits for the above-captioned application, the filing fee of \$180 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (2 pages) and copies of the cited references are provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language with the exception of items L and W. Item L is in the Spanish language and an English translation is

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 399295332 US

Date of Deposit September 17, 2004

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie L. Seidman

09/20/2004 KBETEHA1 00000027 09942959

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Applicant: Robert S. Osbakken et al. Attorney's Docket No.: 17103-003001 / 1457

Serial No.: 09/942,959 Filed: August 31, 2001

Supplemental IDS Page : 2 of 2

attached. Item W, which is in the Japanese language, is provided with an English language abstract on the last page of the article. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Since the last Supplemental Information Disclosure Statement was filed on May 12, 2004, no additional co-pending applications need be made known to the Examiner.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie L. Seidman Reg. No. 33,779

Attorney Docket No. 17103-003001/1457

Address all correspondence to:

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Substitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17103-003001 / 1457

Application No. 09/942,959

# List of Patents and Publications for Applicant's Information Disclosure Statement

Applicant

Robert S. Osbakken et al.

Filing Date

August 31, 2001

Group Art Unit 1614

(37 CFR §1.98(b))

| Examiner<br>Initial | Desig.<br>ID | Document<br>Number | Publication<br>Date | Patentee        | Class | Subclass | Filing Date If Appropriate |
|---------------------|--------------|--------------------|---------------------|-----------------|-------|----------|----------------------------|
|                     | Α            | 2002/0061281       | 05/23/02            | Osbakken et al. | 424   | 43       | 08/31/01                   |
|                     | В            | 2002/0102294       | 08/01/02            | Bosch et al.    | 424   | 450      | 11/12/98                   |
|                     | С            | 2002/0197212       | 12/26/02            | Osbakken        | 424   | 45       | 07/09/02                   |
|                     | D            | 2003/0031631       | 02/13/03 A1         | Osbakken        | 424   | 45       | 08/28/02                   |
|                     | E            | 4,438,100          | 03/20/84            | Baslev et al.   | 424   | 104      | 12/28/81                   |
|                     | F            | 5,789,391          | 08/04/98            | Jacobus et al.  | 514   | 51       | 07/03/96                   |
|                     | G            | 5,883,084          | 03/16/99            | Peterson et al. | 514   | 78       | 06/08/98                   |
|                     | H            | 5,958,897          | 09/28/99            | Jacobus et al.  | 514   | 49       | 01/09/98                   |
|                     | I            | 5,972,904          | 10/26/99            | Jacobus et al.  | 514   | 51       | 01/09/98                   |
|                     | J            | 5,981,506          | 11/09/99            | Jacobus et al.  | 514   | 47       | 01/09/98                   |

| Foreign Patent Documents or Published Foreign Patent Applications |              |                    |                     |                             |       |          |              |              |
|---|--------------|--------------------|---------------------|-----------------------------|-------|----------|--------------|--------------|
| Examiner<br>Initial   | Desig.<br>ID | Document<br>Number | Publication<br>Date | Country or<br>Patent Office | Class | Subclass | Trans<br>Yes | lation<br>No |
|   | K            | 03/020219          | 03/13/03 A2         | PCT                         |       |          |              |              |

|          | Other Documents (include Author, Title, Date, and Place of Publication) |   |  |  |  |  |
|----------|---|---|--|--|--|--|
| Examiner | Desig.  |   |  |  |  |  |
| Initial  | ID  | Document  |  |  |  |  |
|          | L   | Guevara et al., "[The Ambulatory Aerosol Therapy in the Treatment of Chronic ENT Pathology," Anales O.R.L. Iber. Amer. XVIII, 3:231 – 238 (1991) (In Spanish, English summary on last page of article)  |  |  |  |  |
|          | M   | Kondo et al, "Transitional Concentration of Antibacterial Agent to the Maxillary Sinus via a Nebulizer," Acta Otolaryngol. Suppl. 525:64-67 (1996)  |  |  |  |  |
| _        | N   | Negley et al., "RinoFlow™ Nasal Wash and Sinus System as a mechanism to deliver medications to the paranasal sinuses: Results of a radiolabeled pilot study," ENT Journal, 78(8):550-554 (1999)   |  |  |  |  |
|          | 0   | O'Riordan et al., "Effect of Nebulizer Configuration on Delivery of Aerosolized Tobramycin,."  Journal of Aerosol Medicine 20(1):13-23 (1997)   |  |  |  |  |
|          | P   | Press Release "SinusPharma Forms Strategic Partnership with Priority Healthcare Corporation; Innovative Chronic Sinusitis Therapy Takes Center Stage" (September 18, 2003) <a href="http://www.sinuspharmacy.com/news_sinuspharmaprioritypartnership.html">http://www.sinuspharmacy.com/news_sinuspharmaprioritypartnership.html</a> [accessed on 09/08/04] |  |  |  |  |
|          | Q   | Press Release "Scripps Memorial Hospital's Chief of Otolaryngology Develops Usage Algorithm for Intranasal Nebulized Medications for Chronic Sinusitis" (February 12, 2003) <a href="http://www.sinuspharmacy.com/presswahl.html">http://www.sinuspharmacy.com/presswahl.html</a> [accessed on 09/08/04]  |  |  |  |  |

| Examiner Signature   | Date Considered  |
|--|--|
|  |  |
| EXAMINER: Initial if citation considered, whether or not citation is in co | Informance with MPEP 609: Draw line through citation if not in |
| conformance and not considered. Include copy of this form with next co     |  |

Substitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17103-003001 / 1457

Application No. 09/942,959

ist of Patents and Publications for Applicant's Information Disclosure Statement

Applicant

Robert S. Osbakken et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

August 31, 2001 1614

|          | Other Documents (include Author, Title, Date, and Place of Publication) |  |  |  |  |
|----------|---|--|--|--|--|
| Examiner | Desig.  |  |  |  |  |
| Initial  | ID  | Document   |  |  |  |
|          | R   | Press Release "SinusPharmacy Adds Nasal Irrigation Option to SinuNEB System" (September 18, 2002) <a href="http://www.sinuspharmacy.com/pressirrigator.html">http://www.sinuspharmacy.com/pressirrigator.html</a> [accessed on 09/08/04]                               |  |  |  |
|          | S   | Press Release "Patent for Sinusitis Treatment Awarded to SinusPharma" (June 11, 2003) <a href="http://www.sinuspharma.com/investors/releases/sinuspharma-patent.html">http://www.sinuspharma.com/investors/releases/sinuspharma-patent.html</a> [accessed on 09/08/04] |  |  |  |
|          | Т   | Press Release "Study Finds Nebulized Antibiotics Effective Against Chronic Sinusitis" (September 17, 2002) <a href="http://www.sinuspharmacy.com/pressnebulizedsinusitis.html">http://www.sinuspharmacy.com/pressnebulizedsinusitis.html</a> [accessed on 09/08/04]    |  |  |  |
|          | U   | Press Release "SinusPharmacy Announces Breakthrough in Treating Chronic Sinusitis" (September 25, 2000) http://www.sinuspharmacy.com/press001.html [accessed on 09/08/04]  |  |  |  |
|          | V   | Stedman's Medical Dictionary, 27 <sup>th</sup> Ed. P. 1644 (1999), Lippincott Williams & Wilkins, Baltimore MD   |  |  |  |
|          | W   | Yokota et al., "[Antibacterial Activities of Cefmenoxime against recent fresh clinical isolates from patients of sinusitis]," Japanese Journal of Antibiotics: 48(5):602-609 (1995) (In Japanese, English abstract on last page of article)                            |  |  |  |

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.